

## Identification of a Spheroid based on the First Order Polarization Tensor

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**Abstract:** Polarization tensor (PT) has a lot of useful and important practical applications. In this case, it must be firstly determined by some appropriate method. Besides, understanding some properties of the PT might also be very useful in order to apply it. In this study, we investigate the first order PT for ellipsoid and use it to describe the first order PT for spheroid as well as identify the spheroid. Numerical examples are also given to further justify our results.

**Keyword:** Conductivity; integrals; matrices.

### 1. Introduction

Recently, there are some applications of electric and electromagnetic systems that use polarization tensor (PT). In electrical imaging such as for biomedical or industrial purposes, the PT is adopted in the algorithm of image reconstruction to enhance the quality of the image [1,2]. Moreover, by using similar technique in electrical imaging, the PT is also considered during the investigation of electro-sensing by weakly electric fish [3,4,5,6,7,8,9]. On the other hand, a slightly different model than electrical imaging has been developed to apply PT in metal detection for examples in security screening [10,11,12] and landmine clearance [13,14,15]. In all mentioned applications, the PT is investigated based on the perturbation of electric [1,3,4,5,6,7,8,9] or electromagnetic field [16,17,18,19,20,21] in a free space (such as 2D or 3D) due to the conductivity contrast between the space itself and a conducting object. In this case, the PT depends on the geometry and the conductivity of the object. Therefore, the PT representing the perturbation due to the presence of the object is also referred as the PT for that object. In the literatures, there are two approaches used to determine the PT. In the study of electrosensing fish, the PT is mostly determined by using analytical formula whereas in metal detection, the PT is obtained

based on data collected during field measurements.

Computing the PT based on both approaches are essential in order to effectively apply the PT. A few studies focusing on computing the PT according to the analytical formula can be found for examples in [19,22,23,24,25]. Meanwhile, the PT used in [11,12,13,14,15] are computed according to optimization technique based on a few measurements data obtained during experiments in the laboratory. In addition, other studies such as by [20,26,27] compare the PT computed both by analytical formula and field measurements. Besides, understanding the properties of the PT is also important in the related applications. For example, in order to describe a conducting object based on its PT, we must be able to explain how PT represents that object. Because of this, many researchers have investigated the important properties of PT but, there are some useful properties of PT that have not yet been revealed.

In this study, by examining the analytical formula of the first order PT for ellipsoid, we will present some properties related to the first order PT specifically for spheroid. Previous studies have shown that the first order PT for most objects are actually also the first order PT for spheroid [7,27,28]. Thus, we might increase our understanding on the first order

PT for many objects by investigating the first order PT for the spheroid.

In the next section, we will briefly review the mathematical formula of the first order PT for ellipsoid before investigating spheroid.

## 2. Mathematical Formulation of the Polarization Tensor

Let  $B$  be a small object presented in the space  $R^3$ . The conductivity  $\sigma(x)$  is then defined such that for any point  $x \in R^3$ .

$$\sigma(x) = \begin{cases} k, & \text{for } x \in B, \\ 1, & \text{for } x \in R^3. \end{cases} \quad (1)$$

where  $k$  is a constant depending on the material of  $B$ . Equation (1) suggests that there exists a conductivity contrast between  $R^3$  (conductivity equal to 1) and  $B$  (conductivity equal to  $k$ ). According to Ammari and Kang [1], if there is an electrical field in  $R^3$  with the presence of  $B$ ,  $B$  are then described by the terminology called as the Generalized Polarization Tensor (GPT). GPT can be determined by solving system of integral equations and the simplest form of GPT denoted by  $M$  is called as the first order GPT (or simply the first order PT). Here,  $M$  for  $B$  at conductivity  $k$ , denoted by  $M(k, B)$  where  $0 < k \neq 1 < +\infty$  is a real  $3 \times 3$  matrix and it is proven in [1] that  $M$  is symmetric. Moreover, [1] has also shown that  $M$  is positive definite if  $k > 1$  whereas, it is negative definite if  $0 < k < 1$ .

In addition, by adapting [1,29,30,31], Mohamad Yunos and Ahmad Khairuddin [32] have proposed a slightly different explicit formula of the first order PT when  $B$  is an ellipsoid. If  $B$  is an ellipsoid with semi principal axes  $a, b$  and  $c$  ( $a, b, c > 0$ ), that can be represented by  $\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$  in the Cartesian coordinate system,  $M(k, B)$  is a nonzero matrix given by [32] as

$$M(k, B) = (k - 1)|B| \begin{bmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{bmatrix}, \quad (2)$$

where  $|B|$  is the volume of  $B$  and for  $i = 1, 2$

and 3,  $m_i = \frac{1}{(1 - d_i) + kd_i}$ .  $d_i$  are nonnegative

constants called as depolarization or demagnetizing factors [29,30,31] given by

$d_i = \frac{abc}{2} I_i$  where

$$I_1 = \int_0^\infty \frac{1}{(a^2 + y)^{3/2} \sqrt{(b^2 + y)(c^2 + y)}} dy, \quad (3)$$

$$I_2 = \int_0^\infty \frac{1}{(b^2 + y)^{3/2} \sqrt{(a^2 + y)(c^2 + y)}} dy, \quad (4)$$

$$I_3 = \int_0^\infty \frac{1}{(c^2 + y)^{3/2} \sqrt{(a^2 + y)(b^2 + y)}} dy. \quad (5)$$

Previously, depolarization factors were classically appeared in the study of composites [29] and also had been used by [30,31] to study electromagnetism.

Therefore, equation (2) together with (3), (4) and (5) will be used to investigate some properties of the first order PT for spheroid.

## 3. Results

In this section, we will present some properties related to the first order PT for spheroid. Here, the spheroid is a specific case of the ellipsoid given by the previous Cartesian equation, where, two of its semi principal axes are equal. In order to achieve our purpose, we firstly prove the following lemma.

**Lemma 1** Let  $a, b$  and  $c$  be the semi principal axes of ellipsoid  $\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$  and  $d_i$  is the depolarization factors for  $i = 1, 2, 3$ .

a.  $a = b$ , if and only if  $d_1 = d_2$ .

b.  $a = c$ , if and only if  $d_1 = d_3$ .

c.  $b = c$ , if and only if  $d_2 = d_3$ .

*Proof:*

We prove part (a) and the prove for part (b) and (c) can be obtained by repeating the similar steps.

Assume that  $a = b$ . We need to show that  $d_1 = d_2$ . By substituting  $a = b$  into both  $d_1$  and  $d_2$ , we immediately have  $d_1 = d_2$ .

Now, suppose that  $d_1 = d_2$ . We need to show that  $a = b$ . Since  $d_1 = d_2$ , we will have

$$\frac{abc}{2} \int_0^\infty \frac{1}{(a^2 + y)^{3/2} \sqrt{(b^2 + y)(c^2 + y)}} dy = \frac{abc}{2} \int_0^\infty \frac{1}{(b^2 + y)^{3/2} \sqrt{(a^2 + y)(c^2 + y)}} dy$$

which implies  $\frac{1}{(a^2 + y)} = \frac{1}{(b^2 + y)}$ . Thus,

$b^2 = a^2$  that is  $b = \pm a$ . Therefore, it is proven that  $a = b$  since  $a, b > 0$ .

Next, for simplification, we rewrite (2) as

$$M(k, B) = \begin{bmatrix} M_1 & 0 & 0 \\ 0 & M_2 & 0 \\ 0 & 0 & M_3 \end{bmatrix}, \quad (6)$$

where  $M_i = (k-1) |B| m_i$ . For each  $i=1,2,3$ ,  $m_i$  is given as in the previous section. We now propose the next theorem.

**Theorem 2** Let  $M(k, B)$  be the first order PT for ellipsoid  $\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$  at any conductivity  $k$ , where  $0 < k \neq 1 < +\infty$ .

- a.  $a = b$ , if and only if  $M_1 = M_2$ .
- b.  $a = c$ , if and only if  $M_1 = M_3$ .
- c.  $b = c$ , if and only if  $M_2 = M_3$ .

*Proof:*

We prove part (a) first.

Suppose that  $a = b$ . We need to show that  $M_1 = M_2$ . According to Lemma 1, we know that  $a = b$  implies  $d_1 = d_2$ . Thus, by substituting  $d_1 = d_2$  into  $M_1$  and  $M_2$ , we immediately obtain  $M_1 = M_2$ .

Now, assume  $M_1 = M_2$ . We need to prove that  $a = b$ . Since  $M_1 = M_2$ , we have

$$(k-1) |B| \frac{1}{(1-d_1) + kd_1} = (k-1) |B| \frac{1}{(1-d_2) + kd_2},$$

$$\frac{1}{(1-d_1) + kd_1} = \frac{1}{(1-d_2) + kd_2},$$

$$kd_2 - d_2 = kd_1 - d_1,$$

$$(d_2 - d_1)(k-1) = 0.$$

So, we have either  $(d_2 - d_1) = 0$  or

$(k-1) = 0$  or both. However,  $k \neq 1$  and

thus  $d_1 = d_2$ . Therefore,  $a = b$  by Lemma 1.

The same steps can be repeated to prove part (b) and (c).

#### 4. Discussion

In the previous section, we have shown that the first order PT (in the form of (6)) for a spheroid, given by  $\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$  where either  $a = b$ ,  $a = c$  or  $b = c$ , has two distinct diagonals. Numerical examples to validate this can be found for examples in [7], [27], [28] and [32]. Here, all the first order PT for the spheroids at any conductivity  $k$  are positive definite matrices when  $k > 1$  whereas they are negative definite matrices when  $k < 1$ , as suggested by [1].

In addition, we have also shown that either  $a = b$ ,  $a = c$  or  $b = c$  when the first order PT for a spheroid in the form of (6) has two distinct diagonals. Given the first order PT for a spheroid with two distinct diagonals in the form of (6), finding the values of  $a, b$  and  $c$  is not straightforward. For example, we have to solve (2) equal to a given (known) first order PT (usually in the form of (6)). A method to solve (2) from a given first order PT actually has been discussed in [27] and [28]. This technique is used during the investigation of the first order PT in electrosensing fish by [7], [27] and [33].

Recently, Ahmad Khairuddin et al. [34] have shown that when  $a \leq b = c$  or

$a \geq b = c$ , the conductivity  $k > 1$  if the first order PT of a spheroid is positive definite matrix whereas  $k < 1$  if the first order PT of a spheroid is negative definite matrix. This is a rule that has to be followed to find the value  $a$  and  $b=c$  from a given first order PT. The next two examples given in this study will follow the results in [34] and also Theorem 2 part (c).

Table 1 shows the values for  $a$  and  $b=c$  when (2) is solved equal to

$$\begin{bmatrix} 32 & 0 & 0 \\ 0 & 44 & 0 \\ 0 & 0 & 44 \end{bmatrix}, \quad (7)$$

by the method proposed in [27] for three different conductivities,  $k$ . Here,  $k > 1$  since (7) is a positive definite matrix. The diagonal matrix (7) is actually the first order PT at  $k = 10^5$  for a pyramid with length, width and height all equal to 3, as given in [5].

**Table 1.** The value for semi principal axes  $a, b = c$  of a positive definite first order PT when  $k > 1$ .

Conductivity, $k$	Principal axes, $a, b=c$
1.5	$a = 0.6308$ $b = 5.8770$
50	$a = 1.2311$ $b = 1.6379$
100	$a = 1.2257$ $b = 1.6175$

In contrast, the values for  $a$  and  $b = c$  in Table 2 are obtained when (2) is solved equal to

$$\begin{bmatrix} -33.53 & 0 & 0 \\ 0 & -33.81 & 0 \\ 0 & 0 & -33.81 \end{bmatrix}, \quad (8)$$

by the same method for three different conductivities,  $k$  where  $k < 1$  since (8) is a negative definite matrix. Here, matrix (8) as given in [28] is the first order PT for a cylinder with diameter and length both are equal to 3 at  $k = 5 \times 10^{-5}$ .

For all  $a$  and  $b = c$  in Table 1 and Table 2, the first order PT (7) and (8) can be

determined back by using (2) with the corresponding  $k$  given in the same table. Thus, the first order PT for the spheroids obtained, given by either (7) or (8) are also the first order PT for pyramid or cylinder. In the future, we might be able to increase our understanding about the first order PT for

**Table 2.** The value for semi principal axes  $a, b = c$  of a negative definite first order PT when  $k < 1$ .

Conductivity, $k$	Principal axes, $a, b=c$
0.5	$a = 2.4320$ $b = 2.3486$
0.001	$a = 1.7679$ $b = 1.7435$
0.00003	$a = 1.7671$ $b = 1.7427$

pyramid and cylinder by investigating the first order PT for these spheroids.

Finally, numerical examples for semi principal axes  $a = b$  and  $c$  (or  $a = c$  and  $b$ ) from a given first order PT, as required by Theorem 2 part (a) and (b), can be found in [7], [27], [28] and [33]. In those particular studies, the values of  $a, b$  and  $c$  do not follow the theories proposed in [34]. However, the same rule for  $k$  is applied when solving (2) equal to a given first order PT to obtain  $a = b, c$  or  $a = c, b$ .

## 5. Conclusion

In this study, we have investigated some properties which are related to the first order PT for spheroids. By investigating the first order PT for ellipsoid, we can describe and also identify a spheroid based on its first order PT. Moreover, some numerical examples are also given to further discuss our findings.

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